

# Price List of Investment Services

This price list is valid as of 1 August 2024.

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## 1. Trading in Investment instruments

### 1.0 Shares, certificates, ETFs and other Investment instruments traded on foreign stock exchanges and OTC - instructions executed through Raiffeisen investice application

Name of item	Bank fee	FTT/SD/other tax *	Total fee	Minimum fee
1. Xetra Frankfurt (XETR)	0.20 %	-	0.20 %	5 EUR
2. USA (XNAS, XNYS) **	0.20 %	-	0.20 %	5 USD
3. Austria	0.20 %	-	0.20 %	5 EUR

Note: \* FTT = Financial Transaction Tax, SD = Stamp Duty. May also apply to local securities traded on other markets.  
 \*\* Financial Transaction Tax (FTT) also applies to French and Italian stocks traded as ADR on the US market.

Fees according to this Price List are also applied to instructions executed via Raiffeisen investice before the effective date of this Price List (between 20 May and 30 June 2023). Instructions executed through Raiffeisen investice on markets not specified in this Article are subject to the fees specified in Article 1.1. and instructions on markets not specified in Article 1.1. are subject to the fees specified in Articles 1.3 - 1.12.

### 1.1 Stocks, certificates, ETFs and other Investment Instruments traded on foreign stock exchanges and OTC - instructions realized via RBroker

Name of item	Bank fee	FTT/SD/other tax *	Total fee	Minimum fee
1. XETRA Prague (XPRA)	0.11 %	-	0.11 %	100 CZK
2. Xetra Frankfurt (XETR)	0.20 %	-	0.20 %	20 EUR
3. USA **	0.20 %	-	0.20 %	10 USD
4. France – BUY	0.30 %	0.30 %	0.60 %	40 EUR
5. France - SELL	0.30 %	-	0.30 %	40 EUR
6. United Kingdom - BUY	0.20 %	0.50 %	0.70 %	50 EUR
7. United Kingdom - SELL	0.20 %	-	0.20 %	50 EUR
8. London IOB (GDR's)	0.20 %	-	0.20 %	50 EUR
9. Poland	0.20 %	-	0.20 %	200 PLN
10. Austria	0.20 %	-	0.20 %	20 EUR

Note: \* FTT = Financial Transaction Tax, SD = Stamp Duty. Also applies to local securities traded on other markets.  
 \*\* Financial Transaction Tax (FTT) also applies to French and Italian stocks traded as ADR on the US market.

Instructions realized via RBroker on markets not listed in this Article are subject to the fees set out in Articles 1.3. - 1.12.

### 1.2. Stocks, certificates on PSE

Name of item	Bank fee
1. STOCKS, CERTIFICATES, WARRANTS - Xetra Prague	0.11 %, min. 500 CZK
2. REPO operations (counterparty is always Raiffeisenbank)	1 000 CZK
3. BLOCK TRADES (OTC)	fee subject to agreement

### 1.3. Stocks, certificates, ETFs and other Investment Instruments traded in North America

Name of item	Bank fee	FTT/SD/other tax *	Total fee	Minimum fee
1. USA **	0.30 %	-	0.30 %	30 USD
2. Canada	3 cents / 1 piece	-	3 cents / 1 piece	40 CAD

Note: \* FTT = Financial Transaction Tax, SD = Stamp Duty. May also apply to local securities traded on other markets.  
 \*\* Financial Transaction Tax (FTT) also applies to French and Italian stocks traded as ADR on the US market.

#### 1.4. Stocks, certificates, ETFs and other Investment Instruments traded in Europe

Name of item	Bank fee	FTT/SD/other tax *	Total fee	Minimum fee
1. Germany (XETR) **	0.30 %	-	0.30 %	30 EUR
2. Austria	0.30 %	-	0.30 %	30 EUR
3. France – BUY	0.40 %	0.30 %	0.70 %	50 EUR
4. France – SELL	0.40 %	-	0.40 %	50 EUR
5. Netherlands	0.30 %	-	0.30 %	50 EUR
6. United Kingdom - BUY	0.30 %	0.50 %	0.80 %	60 EUR
7. United Kingdom - SELL	0.30 %	-	0.30 %	60 EUR
8. London IOB (GDR's)	0.30 %	-	0.30 %	60 EUR
9. Belgium	0.30 %	-	0.30 %	50 EUR
10. Switzerland - BUY	0.30 %	-	0.30 %	40 CHF
11. Switzerland - SELL	0.30 %	-	0.30 %	40 CHF
12. Ireland - BUY	0.30 %	1 %	1.30 %	60 EUR
13. Ireland - SELL	0.30 %	-	0.30 %	60 EUR
14. Spain - BUY	0.30 %	0.20 %	0.50 %	80 EUR
15. Spain - SELL	0.30 %	-	0.30 %	80 EUR
16. Italy - BUY	0.30 %	0.10 %	0.40 %	60 EUR
17. Italy - SELL	0.30 %	-	0.30 %	60 EUR
18. Greece - BUY	1.00 %	-	1.00 %	90 EUR
19. Greece - SELL	1.00 %	0.10 %	1.10 %	90 EUR
20. Portugal	0.30 %	-	0.30 %	80 EUR
21. Hungary	0.30 %	-	0.30 %	10 000 HUF
22. Poland	0.30 %	-	0.30 %	250 PLN
23. Poland - New Connect	0.40 %	-	0.40 %	300 PLN
24. Slovenia	0.90 %	-	0.90 %	90 EUR
25. Slovakia	0.90 %	-	0.90 %	90 EUR
26. Finland	0.30 %	-	0.30 %	60 EUR
27. Sweden	0.30 %	-	0.30 %	60 EUR
28. Denmark	0.30 %	-	0.30 %	80 EUR
29. Norway	0.30 %	-	0.30 %	80 EUR
30. Lithuania	0.90 %	-	0.90 %	80 EUR
31. Latvia	0.90 %	-	0.90 %	80 EUR
32. Estonia	0.90 %	-	0.90 %	80 EUR

Note: \* FTT = Financial Transaction Tax, SD = Stamp Duty. Also applies to local securities traded on other markets.  
 \*\* Market instructions for XSTU and XFRA are accepted for repurchase only and by telephone only.

#### 1.5. Stocks, certificates, ETFs and other Investment Instruments traded in other

Name of item	Bank fee	FTT/SD/other tax *	Total fee	Minimum fee
1. Russia (USD and RUB)	0.50 %	-	0.50 %	100 EUR
2. Japan	0.40 %	-	0.40 %	40 EUR
3. Hong Kong	0.40 %	-	0.40 %	80 EUR
4. Singapore	0.60 %	-	0.60 %	80 EUR
5. Australia	0.40 %	-	0.40 %	80 EUR

Note: \* FTT = Financial Transaction Tax, SD = Stamp Duty. May also apply to local securities traded on other markets.

## 1.6. IPO

Name of item	Bank fee
1. IPO (initial public offering) of stock	individual

## 1.7. Clients with an Asset Management Agreement

Name of item	Bank fee	FTT/SD/other tax *	Total fee	Minimum fee
1. USA	0.20 %	-	0.20 %	10 USD
2. USA (ETF for All-Inclusive client type)	0 %	-	0 %	0 USD
3. Germany	0.20 %	-	0.20 %	20 USD
4. Germany (ETF for All-Inclusive client type)	0 %	-	0 %	0 USD
5. Xetra Prague in operation volume up to 100 000 CZK	0.11 %	-	0.11 %	300 CZK
6. Xetra Prague in operation volume up to 500 000 CZK	0.11 %	-	0.11 %	500 CZK
7. Xetra Prague in operation volume of 500 001 CZK and more	0.11 %	-	0.11 %	550 CZK

Note: \* FTT = Financial Transaction Tax, SD = Stamp Duty. May also apply to local securities traded on other markets.

## 1.8. OTC certificates / OTC structured notes

Name of item	RBI	Other issuers
1. IPO	max. 2.5 %	individual
2. Secondary market	0.30 %, min. 30 EUR	individual

Note: A reduced fee of 0.2%, min. 5 EUR applies when buying a certificate on the secondary market via Raiffeisen investice.

## 1.9. Bonds - instructions realized via RBroker

Name of item	Bank fee	Minimum fee	Maximum Fee
1. Bonds traded on the EU market (registered in Clearstream / Euroclear)	0.25 %	2 500 CZK	15 000 CZK
2. Bonds traded in CZK (registered on PSE, maintained with CDCP or in SKD system maintained by CNB)	0.25 %	2 500 CZK	15 000 CZK
3. Bonds traded on the US market in USD (reg. in the US - US Treasuries)	0.25 %	2 500 CZK	15 000 CZK
4. Other bonds (registered on local stock exchanges)	0.25 %	4 000 CZK	15 000 CZK
5. Provision of purchase of bonds upon issue		individual	
6. Broker fees (OTC bond transaction)		0.02 %	

**1.10. Bonds - instructions not realized via RBroker (executed by phone, on paper, ...)**

Name of item	Bank fee	Minimum fee	Maximum Fee
1. Bonds traded on the EU market (registered in Clearstream / Euroclear)	0.50 %	5 000 CZK	30 000 CZK
2. Bonds traded in CZK (registered on PSE, maintained with CDCP or in SKD system maintained by CNB)	0.50 %	5 000 CZK	30 000 CZK
3. Bonds traded on the US market in USD (reg. in the US - US Treasuries)	0.50 %	5 000 CZK	30 000 CZK
4. Other bonds (registered on local stock exchanges)	0.50 %	10 000 CZK	30 000 CZK
5. Provision of purchase of bonds upon issue	individual		
6. REPO operations (REPO operations (BSB, SBB) with bonds registered on PSE (counterparty is always Raiffeisenbank))	7 000 CZK		
7. Broker fees (OTC bond transaction)	0.02%		

**1.11. Bonds for clients with Asset Management Agreement**

Name of item	Bank fee	Minimum fee	Maximum Fee
1. Bonds traded on the EU market (registered in Clearstream / Euroclear)	0.125 %	2 500 CZK	15 000 CZK
2. Bonds traded in CZK (registered on PSE, maintained with CDCP or in SKD system maintained by CNB)	0.125 %	2 000 CZK	15 000 CZK
3. Bonds traded on the US market in USD (reg. in the US - US Treasuries)	0.125 %	2 500 CZK	15 000 CZK
4. Other bonds (registered on local stock exchanges)	0.125 %	4 000 CZK	15 000 CZK

**1.12. Provision of transactions with units**

Name of item	Equity and mixed Funds	Bond and money market funds	Exit fee	Transfer fee
1. Agreement on the Provision of Transactions with Investment Instruments (+FAT)	1.5 % - 6.5 %	1.0% - 5.0%	according to fund statute	individual, min. 1,500 CZK
2. Investment Advisory Agreement	1%		according to fund statute	individual, min. 1,500 CZK
3. Asset Management Agreement	0.5 %, min. 500 CZK		0 CZK	individual, min. 1 500 CZK
4. Asset Management Agreement - client type: All Inclusive and FWR Agreement - private banking portfolio	0 %		0 USD	
Note: Agreement on the Provision of Transactions with Investment Instruments means an agreement concluded between the bank and the client, on the basis of which the client gives instructions to the bank regarding transactions with investment instruments and the bank provides the client with the services specified in such agreement. You can find the specified entry fees of selected funds at <a href="http://www.rb.cz">www.rb.cz</a> or <a href="https://investice.rb.cz/">https://investice.rb.cz/</a> .				

## 2. Custody services

### 2.1. Management of Investment Instruments

Name of item	Agreement on the Provision of Transactions with Investment Instruments and Other Services (+FAT) [p.a. incl. VAT]	Asset Management Agreement Investment Advisory Agreement Asset Management Agreement - Client type: All-Inclusive [p.a. incl. VAT]
1. Portfolio volume up to 9 999 999 CZK	0.30 %	0.10 %
2. Portfolio volume from 10 000 000 to 29 999 999 CZK	0.25 %	0.10 %
3. Portfolio volume from 30 000 000 to 49 999 999 CZK	0.20 %	0.10 %
4. Portfolio volume 50 000 000 CZK and more	0.15 %	0.10 %
<p>Note: The fee for the management of Investment Instruments includes VAT and is charged in arrears for the previous calendar month. The fee is collected by the Bank from the Client's Account intended for investments on the tenth day of the following month, or on the next business day if the tenth day is not a business day. Clients to whom the Bank provides the investment instrument management investment service while not providing the asset management or investment advisory investment service are sent a statement of their Asset Account and a tax invoice by the Bank after billing the fee. The calculation of the fee is based on the European standard of 30/360 days and its amount is determined on the basis of the market value of the Investment Instruments held in the Client's Asset Account as of the last business day of the relevant month.</p> <p>The rates referred to in Article 2.1 are maximum rates. The Bank may apply a reduced rate to selected investment instruments.</p> <p>Mutual funds listed in the Fund Parameters document are subject to a 0 rate.  <a href="#">parametry_fondu.pdf (rb.cz)</a></p> <p>In respect of all clients holding even one investment instrument registered in the SKD system, the management of investment instruments is always provided and charged, without possible discounts!</p>		

### 2.2. Settlement of Investment Instruments on the basis of delivery free of payment - foreign Investment Instruments

Name of item	Bank fee
1. Free transfer of Investment Instruments to Asset Account (RFP)	65 EUR
2. Free transfer of Investment Instruments from Asset Account (DFP)	85 EUR
3. Transfer of Investment Instruments between markets	65 EUR
4. Transfer of Investment Instruments between clients within the Bank	20 EUR
Note: Conversions of ADR or GDR into local Investment Instruments are determined individually.	

### 2.3. Settlement of Investment Instruments on the basis of delivery free of payment - domestic Investment Instruments (including SKD)

Name of item	Bank fee
1. Transfer of Investment Instruments	1,500 CZK (except individual agreements)
2. Transfer of Investment Instruments between clients within the Bank	1 000 CZK

## 2.4. Settlement of Investment Instruments on the basis of delivery versus payment

Name of item	Bank fee
1. For Eurobonds (CEDEL, EUROCLEAR) and for foreign Investment Instruments traded in Germany, Austria, USA	85 EUR
2. For foreign Investment Instruments traded in Australia, the Baltic States, Belgium, Denmark, Finland, France, Japan, Ireland, Italy, South Africa, Croatia, Canada, Luxembourg, Hungary, Netherlands, New Zealand, Norway, Portugal, Greece, Sweden, Switzerland, Spain, United Kingdom, Slovakia, Slovenia	155 EUR
3. For foreign Investment Instruments traded in Egypt, Philippines, Hong Kong, Indonesia, Israel, South Korea, Malaysia, Singapore, Taiwan, Thailand, Turkey, Poland	185 EUR
4. For domestic Investment Instruments (including SKD)	1,500 CZK (except individual agreements)

## 3. CDCP Investment instrument register service

### 3.1. Bank services procured at CDCP

Name of item	Bank fee
1. Providing a statement of the Client's asset account held under the Bank as a CDCP participant	100 CZK
2. Providing a statement of the Client's asset account registered in unclassified records of CDCP	0 CZK
3. Transfer of securities from the Client's unclassified asset account to be held under the Bank	100 CZK
4. Change of contacts and other details of the Client stored with CDCP	0 CZK
5. Provision of Services in connection with the inheritance of securities	500 CZK per person
6. Filing of a complaint regarding fees charged at CDCP	250 CZK
7. Provision of a transfer of securities between asset accounts at CDCP	0.01% of the transfer volume at NV, min. 1,500 CZK, max. 50,000 CZK
8. Establishment, change and termination of security interests over asset accounts at CDCP	0 CZK
9. Opening / closing an owner's account at CDCP	0 CZK
10. Opening / closing a customer account at CDCP	individual
11. NID allocation	0 CZK
12. Entry of a person into the CDCP personal register	0 CZK
13. Fee for other services	individual

### 3.2. Information on Articles 1, 2 and 3 of the Price List

The Bank and the Client may agree on a different fee than specified in the Bank's Price List, provided that the Bank and the Client agree on a different amount of the fee by a written agreement or an agreement between the Bank and the Client recorded on the Bank's recording equipment. If the Bank accepts a written instruction from the Client containing a higher fee of the Bank than the fee specified in the Price List, the Bank and the Client shall be deemed to have agreed to a fee different from the fee of the Bank specified in the Price List.

## 4. Selected third-party fees

Fees of Burza cenných papírů, a.s. („PSE“), Centrální depozitář cenných papírů, a.s. („CDCP“), organizers of stock exchange and over-the-counter markets, and foreign financial institutions, such as securities traders or foreign custodians and settlement system administrators are added to the Bank's fee pursuant to Articles 1, 2 and 3. Third-party fees are available on the Internet on the websites of the third parties. Information on third parties, their websites on which the price lists are published, or on the price lists themselves, is available from the Bank's staff. The PSE price list is published on its the website at <https://www.pse.cz>. The CDCP fees and price list is published on its website at <https://www.cdcp.cz>. Third-party fees are always charged by the Bank in full, regardless of the amount of the Bank's fee.

**4.1. No other third-party fees are added to the Bank's fee according to Articles 1.0, 1.1, 1.3 - 1.12 and 2.2 - 2.4 of the Price List, except for local taxes.**

**4.2. The CDCP fee is added to the Bank's fee following Article 1.2 of the Price List, and amounts to:**

- 285 CZK for the settlement of over-the-counter transactions and DVP events
- 125 CZK for the settlement of an over-the-counter DFP transaction
- 200 CZK for the registration of an over-the-counter transaction

**4.3. CDCP fees are added to the Bank's fee according to Article 3 of the Price List.**

**4.4. The Bank is entitled to re-charge the Clients the CDCP fee for holding their securities with CDCP.**

**4.5. Additional third-party fees if the client is not a resident of the Czech Republic**

The Bank is entitled to re-charge the Client a fee for maintaining an asset account with the Custodian or possibly other Custodian fees in the event that the Client is not a resident of the Czech Republic.

Name of item	Bank fee
1. Account maintenance	20 USD/month
2. US 1099 Tax Report	200 USD/report
3. Transactions *	3 USD
Note: * Transactions that are relevant for US 1099 reporting	

## 5. Costs

The Bank may also charge the Client the extraordinary costs that the Bank has necessarily or expediently incurred in fulfilling its obligations under the Agreement.

If any instruction pursuant to Article 1 is executed within several days, the fee shall be calculated for each business day separately.

## 6. Payment of tax

When buying (or selling) Investment Instruments on selected foreign markets, local tax (such as Stamp Duty or Financial Transaction Tax) is added in an amount determined in accordance with the laws of the country in question.

If the amount of Stamp Duty/Financial Transaction Tax or other local tax in individual countries is known, it is stated in the particular Articles of the Price List. The Bank's fee pursuant to Article 2.1 of the Price List is calculated including VAT in the statutory amount. Unless stated otherwise by the Bank in the Price List, VAT is not included in the Bank's fee. The Bank informs the Client that the Bank is not responsible for the Client's tax obligations (such as for the taxation of income from securities).

## 7. Incentives

All up-to-date information on incentives is available on the website of Raiffeisenbank, a.s.: <https://www.rb.cz/attachments/pi/PI-fondy/informace-o-pobidkach.pdf>



## 8. Asset management service FEE

In favor of the Bank's client, the Bank receives from Raiffeisen investiční společnost, a.s. payment of a discount off the fee for the provisions of asset management of the investment fund in which it invested on the Client's behalf.

The fee represents

- (i) 60 % of the consideration charged by Raiffeisen investiční společnost, a.s. for management of the collective investment funds under its management, and
- (ii) 85 % of the consideration charged by Raiffeisen investiční společnost, a.s. for management of the qualified investor funds under its management, provided that it is always calculated from the volume of the client's funds invested in such funds.

The Bank will use the fee payment to settle the fee charged for the management of the client's assets, including settlement of the compensation for the cost of associated services, particularly the cost of securities custody and administration.